MAY 1 8 2015 ST

IFW

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control purple.

RANCHAR TRANSMITTAL	Application Number	lection of information unless it displays a valid OMB control number. 09/935,016
TRANSMITTAL	Filing Date	August 21, 2001
FORM	First Named Inventor	Lichtenstein
	Art Unit	2154
(to be used for all correspondence after initial filing)	Examiner Name	Ни
Total Number of Pages in This Submission 27	Attorney Docket Number	PA1686US

		-	This Oubilission						/	
				EN	CLOSURES (Check	all that appl	y)			
	Fee Trans	smittal Fo	orm		Drawing(s)			After	Allowance Communication to TC	
	☐ Fe	ee Attach	ned		Licensing-related Papers				al Communication to Board peals and Interferences	
	Express A	fiter Final ffidavits/o n of Time Abandoni on Disclos	declaration(s) Request ment Request sure Statement	Rem	Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on	e Address	App	(Apper Propr Statu Other below Infirmation (ication; rmation	al Communication to TC al Notice, Brief, Reply Brief) ietary Information s Letter Enclosure(s) (please Identify): on Postcard; *One Co-Pending *PTO-SB-08 (x2); *Copy of Disclosure Statement; *List of Applications (x2)	
	Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 Total page number does NOT include confirmation postcard or copy of co-pending application.									
		,	SIGNA	TURE	OF APPLICANT, ATT	ORNEY, C	R AG	ENT		
Firm Na	ame	Carr & F	errell LLP							
Signatu		يذ	Susan 1	/ 2,						
Printed	name	Susan Y	ree /							
Date		May 16,	2005			Reg. No.	41,388	3		
	CERTIFICATE OF TRANSMISSION/MAILING									
sufficier	y certify than nt postage shown be	as first c	rrespondence is be lass mail in an env	eing facs relope a	simile transmitted to the USF ddressed to: Commissioner	PTO or depos for Patents, F	ited witl P.O. Box	the Un 1450, /	ited States Postal Service with Nexandria, VA 22313-1450 on	
Signatu	re		Stera	n I	4					
Typed o	or printed n	ame	Susan Yee			·		Date	May 16, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Walter D. Lichtenstein et al. (as amended)

APPLICATION NO.:

09/935,016

FILING DATE:

August 21, 2001

TITLE:

System and Method for Scheduling and Executing Data

Transfers Over a Network

EXAMINER:

Jinsong Hu

ART UNIT:

2154

ATTY. DKT. NO.:

PA1686US

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail and addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 16, 2005:

Susan Vee

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references; a list of co-pending patent applications is also enclosed.

The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and is submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

The Examiner is authorized to charge any fee due to **Deposit Account 06-0600**. A duplicate copy of this statement is enclosed for this purpose.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

> Respectfully submitted, Walter D. Lichtenstein et al.

May 16, 2005

By:

Susan Yee, Reg. No. 41,388

Carr & Ferrell LLP

2200 Geng Road

Palo Alto, CA 94303

TEL: (650) 812-3400 FAX: (650) 812-3444

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Coi	mplete if Known
	Application Number	09/935,016
:	Filing Date	August 21, 2001
	First Named Inventor	Lichtenstein
	Art Unit	2154
:	Examiner Name	Hu
	Attorney Docket Number	PA1686US

		<u>.</u>	U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
*		^{US-} 4,642,758	02-10-1987	Teng	
		^{US-} 5,523,854	06-04-1996	Hornsby	
		^{US-} 5,557,320	09-17-1996	Krebs	
		^{US-} 5,708,960	01-13-1998	Kamisaka et al.	
		^{US-} 5,920,700	07-06-1999	Gordon et al.	
		^{US-} 5,920,701	07-06-1999	Miller et al.	
		^{US-} 6,003,082	12-14-1999	Gampper et al.	
		us- 6,098,064	08-01-2000	Pírolli et al.	
		^{US-} 6,134,584	10-17-2000	Chang et al.	
		^{US-} 6,240,460	05-29-2001	Mitsutake et al.	
		^{US-} 6,052,710	04-18-2000	Saliba et al.	
		^{US-} 6,560,651	05-06-2003	Katz et al.	
		^{US-} 6,775,665	08-10-2004	Piersol	
		^{US-} 6,427,140	07-30-2002	Ginter et al.	
		us- 6,098,096	08-01-2000	Tsirigotis et al.	
	ļ	^{US-} 6,141,754	10-31-2000	Choy	
		^{US-} 6,324,570	11-27-2001	Tonchev et al.	
		^{US-} 4,644,463	02-17-1987	Hotchkin et al.	
	1	^{US-} 5,886,793	03-23-1999	Satou	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear
•					

									 																	_	_						 	_	_	_	_	_	_	_	 _	_	_	_	_
		 		 	 	 	 		 						٠.			 ٠, ,			, ,							7)	•											 		.T. :::	,	
Evammen		 		 	 	 	 		 				4 1					 					٠.						. Da	י בוני			 			* . * .	٠.,	*			 				
Exammer	1	 		 	 	 	 		 			. * . *				* . * .	• • • •	 	٠.٠.٠			٠.٠.					• • • • •	.1.1			٠.٠.		 							- ' - '	 				
	. 1	 		 	 	 	 • • • •	٠,٠,٠	 	* . * .	٠.٠.	٠.٠.	٠.٠.	٠. ٠.	٠. ٠.			 ٠.٠.										11.					 												
Character and		 	• • • • •	 	 																								~		เกก	red	 								 		٠.٠.٠.		
Signature	· . I	 		 	 	 	 		 																			11.		,,,,	uc	ıcu	 • • • •	• • • •						. * . *	 ٠.٠.٠			· · · · ·	٠.
	- 1	 		 	 	 	 		 									 	٠.٠.				* . * .	٠.٠.	* . * .	٠.٠.٠		ъ.		* . *			 		<i></i> .		· . · .	· . · .			 		٠, ٠, ٠,		
		 		 	 	 	 		 					٠			٠.٠.	 		. ' . '		٠.٠.											 								 				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Complete if Known								
Application Number	09/935,016							
Filing Date	August 21, 2001							
First Named Inventor	Lichtenstein							
Art Unit	2154							
Examiner Name	Hu							
Attorney Docket Number	PA1686US							

				T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan
	ļ	Number-Kind Code ^{2 (if known)}			Figures Appear
		^{US-} 5,933,413	08-03-1999	Merchant et al.	
		^{US-} 6,026,097	02-15-2000	Voois et al.	
		^{US-} 6,715,007	03-30-2004	Williams et al.	
		^{US-} 6,038,216	03-14-2000	Packer	
		^{US-} 6,798,787	09-28-2004	Kikuchi et al.	
		^{US-} 6,771,603	08-03-2004	Yi et al.	
		^{US-} 6,741,563	05-25-2004	Packer	
		^{US-} 6,397,251	05-28-2002	Graf	
		^{US-} 6,657,954	12-02-2003	Bird et al.	
		^{US-} 6,052,734	04-18-2000	Ito et al.	
		^{US-} 6,813,244	11-02-2004	He et al.	
		^{US-} 6,754,200	06-22-2004	Nishimura et al.	
		US-			
		^{US-} 2004/0114607	06-17-2004	Shay et al.	700-4-
		us- 2004/0071085	04-15-2004	Shaham et al.	
		^{US-} 2002/0080721	06-27-2002	Tobagi et al.	
		US-			
		US-			
		US-			

	FOREI	GN PATENT DOCU	MENTS		
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
					<u> </u>
	7.00				<u> </u>
		No. Foreign Patent Document	Cite No. Publication Date MM-DD-YYYY	No.' Date Applicant of Cited Document	Cite No. Poreign Patent Document Publication Name of Patentee or Applicant of Cited Document Where Relevant Passages MM-DD-YYYY Publication Name of Patentee or Applicant of Cited Document Where Relevant Passages Or Relevant Figures Appear

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Walter D. Lichtenstein et al. (as amended)

APPLICATION NO.:

09/935,016

FILING DATE:

August 21, 2001

TITLE:

System and Method for Scheduling and Executing Data

Transfers Over a Network

EXAMINER:

Jinsong Hu

ART UNIT:

2154

ATTY. DKT. NO.:

PA1686US

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

LIST OF CO-PENDING PATENT APPLICATIONS

Bandner's Initials	Application No.	Ming Date	followed
	09/852,464	May 9, 2001	Milkey et al.